


CERTIFICATE OF TRANSMITTAL

I hereby certify that on **June 22, 2007**, this paper (along with any paper referred to as being attached or enclosed) is being transmitted through the EFS system via the United States Patent and Trademark Office website at <http://www.uspto.gov>.

  
Kathy Hinckley

**PATENT**

Applicant: Robert F. Rosenbluth et al.

Serial No.: 10/730,860

Filed: December 9, 2003

Title: **EMBOLECTOMY CATHETERS  
AND METHODS FOR TREATING  
STROKE AND OTHER SMALL  
VESSEL THROMBOEMBOLIC  
DISORDERS**

Examiner: Dawson, Glenn K.

Group Art Unit: 3731

Confirmation No.: 3526

Atty. Docket No.: 388700-001BC

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. § 1.56, Applicant(s) submit herewith patents, publications, or other information of which they are aware that may be considered in connection with the above-referenced patent application. Submission of this Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is "material" to Applicants' invention as that term is currently defined in 37 C.F.R. § 1.56 (37 CFR § 1.97(h)).

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other relevant information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

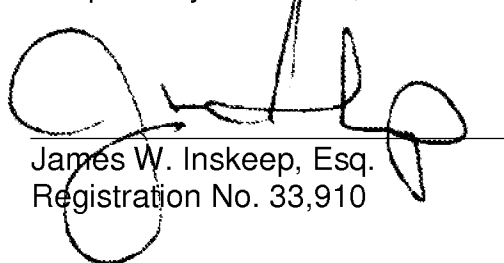
Applicant: Robert F. Rosenbluth et al.  
Serial No.: 10/730,860  
Art Group Unit: 3731

**PATENT**  
Docket No. 388700-001BC

A Patent and Trademark Office Form PTO/SB/08A listing each of these informational items is enclosed along with a copy of each item other than U.S. patents or published applications.

This Information Disclosure Statement is being filed before the mailing date of the first Office Action after the filing of a request for continued examination under § 1.114 in the subject application in accordance with 37 C.F.R. §1.97(b)(4). To our knowledge there are no fees due.

Respectfully submitted,



James W. Inskeep, Esq.  
Registration No. 33,910

Dated: June 22, 2007

**INSKEEP INTELLECTUAL PROPERTY GROUP, INC.**

2281 W. 190<sup>th</sup> Street, Suite 200  
Torrance, CA 90404  
Telephone: (310) 755-7800  
Facsimile: (310) 327-3466

*Customer No. 37,374*

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/730,860
Filing Date	December 9, 2003
First Named Inventor	Robert F. Rosenbluth et al.
Art Unit	3731; Confirmation No. 3526
Examiner Name	Dawson, Glenn K.
Attorney Docket Number	388700-001BC

Sheet 1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	US- 6,663,650 B2	12-16-2003	Sepetka et al.	
	A2	US- 5,895,398	04-20-1999	Wensel et al.	
	A3	US- 5,814,064	09-29-1998	Daniel et al.	
	A4	US- 5,011,488	04-30-1991	Ginsburg	
	A5	US- 4,873,978	10-17-1989	Ginsburg	
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			
	A13	US-			
	A14	US-			
	A15	US-			
	A16	US-			
	A17	US-			
	A18	US-			
	A19	US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>5</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	B1					
	B2					
	B3					
	B4					
	B5					
	B6					
	B7					

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.